

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Pima County Community and Workforce Development Department (CWD) has made strides in carrying out strategic plan goals, such as providing decent, affordable rental and owner-occupied housing, addressing homelessness, community and rural development activities, and supporting services to special needs populations. Pima County's Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) programs, via the Annual Action Plan, provides competitive funding to social and human service providers and small capital projects, efforts guided by Consolidated Plan priorities. The intent of this CAPER is to outline expenditures, programs, projects, and accomplishments for program year 2020 (July 1, 2020 through June 30, 2021). Pima County CWD staff is continuously updating program and project accomplishments into IDIS as they are reported by Subrecipients in the ZoomGrants system (CWD's online application and reporting portal). The figures in the tables below are subject to change as subrecipients submit accomplishment reports to CWD program staff.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Facilities and Improvements	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	500000	5385	1.08%	100000	5385	5.39%

Community Facilities and Improvements	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	200	0	0.00%	40	0	0.00%
Community Facilities and Improvements	Non-Housing Community Development	CDBG: \$	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	10	0	0.00%			
Community Facilities and Improvements	Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	10	0	0.00%	2	0	0.00%
Community Facilities and Improvements	Non-Housing Community Development	CDBG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	100	0	0.00%	20	0	0.00%
Decent Affordable Homeowner Housing	Affordable Housing	CDBG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	450	137	30.44%	90	137	152.22%
Economic Development	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	50	0	0.00%			
Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	10	0	0.00%			
Prevent and Reduce Homelessness	Homeless	ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	400	0	0.00%	80	0	0.00%

Prevent and Reduce Homelessness	Homeless	ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	40	0	0.00%	8	0	0.00%
Prevent and Reduce Homelessness	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	1000	0	0.00%	200	0	0.00%
Prevent and Reduce Homelessness	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	200	0	0.00%	40	0	0.00%
Prevent and Reduce Homelessness	Homeless	ESG: \$	Other	Other	5	0	0.00%			
Program Administration	Program Administration	CDBG: \$	Other	Other	5	0	0.00%	1	0	0.00%
Public Services	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100000	20591	20.59%	20000	20591	102.96%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CWD provided services to low- and moderate-income communities throughout unincorporated Pima County towards needs prioritized in the annual Citizen Participation Plan. In addition, through Urban County Cooperative Agreements, CWD serves residents of the City of South Tucson, the Town of Marana, the Town of Oro Valley, and the Town of Sahuarita. In FY 20-21, Pima County staff worked with local agencies and community groups to implement the goals of both the Annual Action Plan and the 2020-2024 Consolidated Plan. Pima County’s primary CDBG-

funded activity is Housing Rehabilitation followed by Public Service, Infrastructure, and Community Public Facilities.

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CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	ESG
White	420	115
Black or African American	47	15
Asian	0	3
American Indian or American Native	37	7
Native Hawaiian or Other Pacific Islander	0	0
Total	504	140
Hispanic	379	81
Not Hispanic	125	69

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

For CDBG, the data for the above referenced table was auto-populated when Pima County initiated the CAPER in IDIS. The County believes this data correlates to accomplishments entered in IDIS for non-housing LMC activities including Public Service and non-LMA public facilities and infrastructure activities. Additionally, of the over 500 families assisted, (primarily White and Hispanic), PR 23 CDBG Summary of Accomplishment reports generated for Program Year 2020 indicates 504 households assisted with Housing activities. Of these households, approximately 75%, or 379 households, identified as Hispanic.

For ESG, unlike CDBG data auto-populated from the CAPER and from Micro Strategy reports (PR-23), the ESG data is imported directly from SAGE- Q12a accounting for total clients (not by family).

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	2,971,153	
ESG	public - federal	258,818	

Table 3 - Resources Made Available

Narrative

CWD funds numerous subrecipients, primarily nonprofit organizations, government entities, schools, and fire districts. Organizations and activities funded with CDBG and ESG are selected annually through a competitive Community Planning Application process guided by Pima County's Citizen Participation Plan. ESG and CDBG home repair, public services and fair housing funds are awarded one-year contracts to provide services as outlined in their applications. Any unspent funds are recaptured and made available to either performing agencies within the same program year, or identified and made available as carry-over "prior-year resources" in the upcoming Annual Action Plan. For eligible community public facility and infrastructure activities, which have unique and varying timelines, CDBG typically serves as gap funding and contract start dates vary as agencies secure other resources and projects reach a start point. Consequently, subrecipient contracts for CDBG public facilities and infrastructure activities start at various times and may extend over several years. Pima County adheres to HUD Remediation Plan policies to ensure construction projects are completed timely.

The City of Tucson is the participating jurisdiction and lead agency for HOME Consortium funds, which are made available via an open and continuous application process for new projects.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
2020 Ajo CDTA	5		
2020 Amado/Arivaca Junction CDTA	5		
2020 Arivaca CDTA	5		
2020 Avra Valley CDTA	5		
2020 Cardinal/Valencia CDTA	5		
2020 Catalina CDTA	5		
2020 City of South Tucson CDTA (Future NRSA)	5		
2020 Continental CDTA	5		
2020 Drexel Heights CDTA	5		
2020 Kinney/Bopp CDTA	5		

2020 Kleindale CDTA	5		
2020 Rillito CDTA	5		
2020 Sandario CDTA	5		
2020 Three Points CDTA	5		
2020 Town of Marana	5		

Table 4 – Identify the geographic distribution and location of investments

Narrative

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Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Pima County programs approximately \$8 million in local, state, and other federal funds to leverage HUD CDBG and ESG entitlement activities. Local resources include nearly \$4 million in general funds to support Pima County's Outside Agency (OA) program, supporting nearly 80 local nonprofits to conduct public service activities. State funds include weatherization resources totaling just under \$250,000, directly supporting CDBG-funded housing and home repair activities. Federal funds that directly leverage CDBG activities include competitive HUD grants such as HOWPA-C and resources from other agencies such as FEMA Emergency Food and Shelter Program grants and EPA Brownfields funds. Pima County ESG is required to support 100% match for the \$258,818 overall award amount. Matching funds consisted of other federal funds, state and local government funds, private funds or donations, and volunteer hours.

Finally, Pima County affordable housing programs leverage approximately \$27.6 million in local, state, federal, and private sources. Developers of affordable housing utilize private mortgage financing combined with Low Income Housing Tax Credits (LIHTC) as well as other gap funding and owner equity. HOME Downpayment Assistance Grants are leveraged by private mortgage loans and other privately funded down payment assistance grants like the Federal Home Loan Bank Workforce Initiative Subsidy for Homeownership (WISH) grants. While no LIHTC projects were completed in FY 20-21, one LIHTC project was started during the past fiscal year and when completed later this year, will be leveraging about \$3 million in LIHTC equity and over \$5 million in State and private gap funding.

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	0

Table 5 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	0	0
Number of households supported through Rehab of Existing Units	90	137
Number of households supported through Acquisition of Existing Units	0	0
Total	90	137

Table 6 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Pima County primarily utilizes HOME funds and other non-CDBG resources to conduct and complete affordable housing activities. The City of Tucson is the participating jurisdiction (PJ) and lead agency for HOME funds with Pima County's associated affordable housing goals and outcomes incorporated into the City of Tucson Consolidated Annual Performance and Evaluation Report (CAPER).

The affordable housing numbers incorporated in these tables include housing goals as identified in Pima County's Consolidated Plan with "actuals" completed with other non-CDBG funds including ESG, HOPWA-C, HOME (as reported to the City of Tucson) and local resources.

As such, affordable housing goals and outcomes reflected in this section may seem low since the CAPER and IDIS is only capturing CDBG, and possibly ESG, accomplishments and activities. Pima County primarily utilizes CDBG for Home Repair, Public Service, Public Facilities, and Infrastructure projects. CDBG had not been prioritized in Pima County's Consolidated Plan for Tenant-Based Rental Assistance (TBRA), acquisition, or rehabilitation of existing units, as reflected in the above tables.

Discuss how these outcomes will impact future annual action plans.

Pima County will continue to prioritize and provide CDBG affordable housing opportunities through the rehabilitation of owner-occupied housing units.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	72	0
Low-income	39	0
Moderate-income	26	0
Total	137	0

Table 7 – Number of Households Served

Narrative Information

Pima County directs primarily HOME and non-CDBG resources toward affordable housing needs as verified by IDIS PR 23 and PR 83 reports. Pima County's main CDBG affordable housing priority is owner-occupied home rehabilitation both at the subrecipient level and through CWD's Home Repair division. In total, 137 households received home repair assistance ranging from emergency home repair and accessibility improvements to more extensive home rehabilitation.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The local Continuum of Care program, Tucson Pima Collaboration to End Homelessness (TPCH), updated its strategic plan in FY 20-21, with continued focus on engaging community leaders, locating additional housing providers, focusing resources and efforts on the most vulnerable populations, securing funding, and implementing strategies to address and end chronic. Pima County and the City of Tucson have a shared agreement to leverage HUD Continuum of Care (CoC) funding to increase supportive services to rural areas of the county. Housing assessment, resource navigation, and case management will be provided toward efficiently addressing households in crisis, broader housing issues, and homelessness. This effort compliments the existing work of two agencies providing emergency homeless prevention services in rural areas, such as Green Valley and Marana, where access to shelters or other housing support remains difficult or simply does not yet exist.

Since the onset of the COVID-19 public health crisis, the local community embraced remote or virtual outreach for at-risk and homeless households as a means to prevent, prepare for, and respond to COVID-19. This increased the ability to immediately engage households in resolving their housing crisis from wherever they were, without the additional burden of often costly or lengthy travel. Pima County coordinated programming to support the community shelter system and to enhance street outreach services, as well as provide referral support for temporary shelter and hotel voucher programs.

In the Fall of 2020, the local Continuum of Care requested and received permission from HUD to waive the in-person, street count portion of the annual Point-In-Time (PIT) count for January 2021. Instead, Coordinated Entry data from 2018 to 2020 was collected, analyzed and later presented to community stakeholders and agencies in August 2021 by the University of Arizona College of Social & Behavioral Sciences Southwest Institute for Research on Women (SIROW), a study contracted by the City of Tucson. The study estimated the number of individuals experiencing street homelessness in Pima County at 854. The data also shows that from 2018 to 2020 there has been an overall decrease of 15%(from 6705 in 2018 to 5732 in 2020) in assessments collected in the community's Coordinated Entry system, and a 4% decrease in the PIT (1380 in January 2018 to 1324 in January 2020 (SIROW, "*People Experiencing Homelessness in the Tucson/Pima County Continuum of Care: A Detailed Examination of their Demographics, Conditions, and Experiences 2018-2020*"). The local Coordinated Entry system in Pima County grows more dynamic by the year as additional resources and program types come onboard, further improving the quality, effectiveness, and reach of existing resources, while also refining data collection. It is anticipated by the time of the FY 20-21 CAPER, additional race, ethnicity, and gender data will reveal the extent to which current resources are or are not improving the conditions of those who are over-represented in the homeless population of Pima County.

Addressing the emergency shelter and transitional housing needs of homeless persons

ESG funded several nonprofit partners that run emergency shelters in FY 20-21. The beneficiaries were Sister Jose Women's Shelter, Primavera Foundation's Casa Paloma and Greyhound Family Emergency Shelter, Old Pueblo Community Services, Our Family Services, and EMERGE's emergency shelter. Each shelter addresses specific populations, specifically, in order, homeless women, single women with children, and families and individuals living with domestic abuse. These agencies enter their bed availability into the Homeless Management Information System (HMIS), promoting the most efficient allocation of resources amongst the emergency shelter providers in meeting client needs.

Safe, Healthy, and Green for Transitional and Shelter Housing is a Pima County–City of Tucson multi-term initiative supported by the US Department of Housing and Urban Development (HUD).

This initiative benefits nonprofit transitional housing and shelter agencies by providing funding for facility improvements, technical assistance, and peer collaboration opportunities. Each initiative partner contributes to a Safe Healthy Green funding line and the initiative is managed by an experienced nonprofit community collaborator.

The broad goal of the initiative is to improve shelter facilities by making them safer, healthier, and more efficient to operate and maintain. By addressing property and building condition, building systems, layout, or other identified factors, CWD strives to improve the long-term worth of the building, the overall well-being of staff and clients, and the effectiveness of service offerings.

In 2012, architectural consultants Poster Frost Mirto assessed building and mechanical systems in transitional and shelter housing in Tucson. The report documented facility conditions and made recommendations to address safety, health, and efficiency concerns. Agencies utilized the assessment to apply for CDBG Public Facilities funding to make changes to their facilities based on upgrade priorities.

In an important initiative update, Poster Mirto McDonald reassessed agencies in 2019 (adding two new members to the collaborative) wishing to participate in the next 5-year cycle of CDBG facility improvement funding. While modeled on the previous effort, this assessment incorporates new technology, expertise, and knowledge. Poster Mirto McDonald completed facility inspections and follow-up, compiled condition reports, and drew-up recommendations for improvements. Once an organization has gone through the assessment they are eligible to apply for Safe Healthy Green set-aside funding. Along with potential funding, agencies can take advantage of technical assistance and other resources that increase their capacity and quality of service.

More than \$1.7 million in dedicated funding has been distributed toward safety, health, and efficiency repairs and upgrades at assessed facilities, thereby increased service capabilities and effectiveness. These funds have made an appreciable and visible difference not just in the physical appearance and daily function of the facilities but also in the health and safety of clients and staff. As facility needs are addressed, CWD will collaborate with participating agencies to explore and develop capital

funding, expertise and best practices, and innovative partnerships, as well as resource access and collaboration. One of the initiative's most gratifying and useful outcomes has been, in the course of connecting agencies, the sharing of common challenges and opportunities and the depth and breadth of peer discussions.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

ESG is used in conjunction with Supporting Housing Program (SHP) funding to provide employment training for homeless individuals. Other nonprofit partners, such as Primavera Foundation and Our Family Services, provide their own employment training to homeless individuals receiving support services. In FY 20-21, ESG provided additional funds for homeless prevention programs, outreach, emergency vouchers, and rapid-rehousing services to help low-income individuals and families avoid becoming homeless.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

In FY 20-21 the ESG program increased the supply of permanent, supportive housing. ESG incorporates accessibility standards into rehabilitation and development guidelines on a regional basis. In-home support services for the homebound, such as elderly and disabled persons, were provided as well. CWD staff worked cooperatively with workforce investment agencies, employers, and nonprofit agencies to provide job training and employment services for vulnerable populations. In addition, the program provided social services support for caregivers. Any client receiving supportive services through ESG also receive bus vouchers for free transportation for childcare, medical appointments, or employment opportunities. These services, as well as other ESG-funded activities, have prioritized Housing First as a model for helping homeless persons transition to permanent housing and independent living, while simultaneously preventing homelessness in vulnerable populations (such as youth, veterans, and the chronically homeless).

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Pima County's Housing Rehabilitation programs helped maintain existing affordable housing for low and moderate-income families, plus addressed energy conservation issues. The program was successful in maintaining and repairing existing affordable housing stock, and benefitting owner-occupied homeowners. To receive CDBG funding, CWD is required to comply with federal Fair Housing legislation, including developing an Analysis of Impediments (AI) of Fair Housing Choice. The AI addresses the following goals and priorities: Eliminate all forms of illegal housing discrimination, actively promote fair housing choice for all persons, provide opportunities for inclusive patterns of housing occupancy, actively promote housing that is accessible by all, particularly persons with disabilities, and foster compliance with the nondiscrimination provisions of the Fair Housing Act.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Pima County has provided support for City of Tucson PHA's Family Self Sufficiency (FSS) program participants. Pima County and the City of Tucson jointly funded a countywide homebuyer down payment assistance program (DPA) through their HUD HOME Investment Partnerships Program (HOME Program). Eligible low-income FSS participants complete a homebuyer education course, provided by local HUD-certified housing counselors, in order to qualify for down payment assistance, which is provided by local HUD-certified housing counselors. During the last fiscal year one FSS participant was successful in qualifying for down payment assistance to purchase a home.

Actions taken to provide assistance to troubled PHAs

Pima County Housing staff have begun a dialogue with the City of South Tucson Housing Authority to support and increase Housing Choice Voucher utilization within the City of South Tucson.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

As a prerequisite to receive CDBG funding, HUD's Fair Housing and Equal Opportunity Division (FHEO), requires entitlement jurisdictions to comply with applicable federal Fair Housing legislation. Part of this requirement involves developing an Analysis of Impediments to Fair Housing Choice (AI) to guide Pima County's Consolidated Plan and policies. The AI addresses the following goals and priorities:

Eliminate all forms of illegal housing discrimination; actively promote fair housing choice for all persons; provide opportunities for inclusive patterns of housing occupancy regardless of race, color, religion, sex, familial status, disability, and national origin; actively promote housing that is structurally accessible to, and usable by, all persons, particularly persons with disabilities; and foster compliance with the nondiscrimination provisions of the Fair Housing Act.

Staff continues working, through the County's *PimaProsperes* Comprehensive Plan, to develop infill incentive districts in the Community Development Target Areas of Flowing Wells, Kleindale, and along the Benson Highway corridor. These efforts are directed at providing both regulatory and financial relief to spur revitalization of existing land uses, as well as strengthen opportunities for new and innovative uses. Pima County continues to support small and local businesses in job retention and creation, and in promoting the development of safe, decent, and affordable housing in these areas, both as components of our community-driven priorities.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

All Pima County CDBG projects meet the national objectives of benefitting low- and moderate-income persons, preventing or eliminating slums or blight, or meeting urgent community needs.

Pima County highly values its strong local community and organization partnerships, and solicits and encourages the articulation of their needs, issues, and concerns. CWD promotes Pima County and the Department as being responsible and effective at managing grant funds, implementing innovative programs, and leveraging resources. We ensure effective service and program delivery through the following strategies:

Pima County CDBG-funded demolition, brownfields, and commercial facade programs address barriers to revitalization and will be fully incorporated into Pima County's Infill Incentive District Initiatives.

We continue additional efforts to identify rural economic development models, these adopting a regional approach to education, skill development, and capacity-building for residents in at least three

target areas.

Determine effectiveness of current contractual relationships with our participating jurisdiction partners and whether there is a fair distribution of funds from the State of Arizona to Pima County.

Seek representation on local, state, and national panels, commissions, and task force or other groups that address common problems and search for creative solutions.

Strategic efforts provide information to elected officials and administrators on the value of programs and the effectiveness of funding toward providing the greatest community benefit.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Pima County's home repair program, including its subrecipients and contractors, follow strict guidelines (i.e., the Lead Safe Housing Rule (LSHR)) when rehabilitations take place on a pre-1978 homes (or applicable public facility). Residents and occupants of pre-1978 structures are provided, at a minimum, a lead hazard information pamphlet and required to sign an acknowledgement of potential lead-based hazards. For more involved projects, Pima County identifies lead hazards through an evaluation and, should hazards be detected, comprehensive lead reduction is undertaken.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Pima County CWD administered FEMA Emergency Food and Shelter Grants (Phase 38 and Phase CARES) to fifteen non-profit Local Recipient Organizations that have provided emergency shelter and food as well as emergency rental, mortgage, and utility assistance to Pima County residents. ESG funds have also assisted many families with rapid rehousing and homeless prevention activities.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Pima County and the City of Tucson continue to maintain the HOME Consortium and collaborate on policies and procedures as well as other projects that include CDBG and Homeless and Special Populations. Pima County and the City of Tucson have formed the CDBG Shelter and Transitional Shelter collaborative. This is a joint effort over the next five years to provide improvements to these housing units, and provide decent housing and suitable living environments for residents.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Community & Workforce Development works to strengthen partnerships and coordination between government, nonprofit, and private entities at all levels. The majority of these collaborative efforts rely on formal coordination in the form of review committees, boards, or other partnerships. For instance, the Safe Healthy Green initiative depends on its member oversight group—drawn from across all

participating agencies—to guide its operation and actions. We encourage and foster public–private collaboration principally through our grant subrecipients and maintain good relationships with our partners throughout program or project progress (and often over many years and many activities).

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

As a requirement to receive CDBG funding, HUD’s Fair Housing and Equal Opportunity Division (FHEO) requires entitlement jurisdictions to comply with applicable federal Fair Housing legislation. Part of this requirement involves developing an Analysis of Impediments to Fair Housing Choice (AI) to guide Pima County’s Consolidated Plan and policies. The AI addresses the following goals and priorities:

Eliminate all forms of illegal housing discrimination; actively promote fair housing choice for all persons; provide opportunities for inclusive patterns of housing occupancy regardless of race, color, religion, sex, familial status, disability, and national origin; actively promote housing that is structurally accessible to, and usable by, all persons, particularly persons with disabilities; and foster compliance with the nondiscrimination provisions of the Fair Housing Act.

Pima County contracts with Southwest Fair Housing Council (SWFHC) to plan, implement, and review fair housing activities related to the Consolidated Plan. SWFHC is a nonprofit, tax exempt fair housing organization based in Tucson that provides services throughout Arizona. SWFHC advocates for and facilitates the enforcement of federal and state Fair Housing Acts.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Monitoring is the principal means by which CWD assures compliance with federal requirements and ensures that performance goals are being met. Per 2 CFR 200, risk assessments are conducted for all awarded subrecipients, results of which inform the appropriate level of monitoring to be conducted. Staff monitoring of subrecipients is an ongoing process involving continuous communication and evaluation. Such a process involves frequent telephone conversations, written correspondence, analysis of quarterly reports and audits, periodic meetings, and site visits as needed. While monitoring is only required on a yearly basis, CWD staff keeps apprised of compliance with program requirements and the extent to which technical assistance is needed by the agencies.

To ensure compliance from the start of a project or program, CWD staff use the application process to start the monitoring process. Each application must go through an extensive review process to ensure that each applicant meets a national objective and that the organizational goals are aligned with the goals identified in the Consolidated Plan. Once the applications pass the initial review, each application is taken through an extensive review process, with the final funding decisions being made by the Board of Supervisors. Contracts are written that identify governing regulations, scope of work, budgets and any other federal requirements and local requirements of the grant. Once fully executed contracts are in place, CWD and fiscal staff are responsible for monitoring the agencies through the life of the contract. The agencies are monitored for compliance with the program regulations as well as the content found in the subrecipient contracts. In 2020, CWD made several adjustments to its monitoring procedures due to the pandemic and the directives of national, state, and county officials. Several monitoring visits were done either remotely or in a reduced capacity.

As reported to the City of Tucson, the Consortium lead, Pima County housing program staff monitored all HOME-funded projects to ensure compliance with rent limits and utility allowances, tenant certification requirements, affirmative marketing practices, and property maintenance and operations.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The CWD department complies with all public notice requirements for HUD-mandated plans, reports, and projects and program guidelines. The department is also committed to giving County residents a voice in funding and project priorities and processes. This commitment ensures that local needs are addressed with CWD funding and establishes trust with residents as they help determine the use of taxpayer dollars. All programs have an established goal of citizen involvement and participation. Some of the programs have commissions and committees appointed by the County Board of Supervisors. Program staff work at the local level with neighborhood organizations, citizen coalitions, and nonprofit councils and advisory bodies. Program staff develop effective outreach to rural areas, attend citizen meetings in unincorporated communities, participate with fire districts and school districts, nonprofits, service organizations, and citizen groups. The County and HUD versions of the Annual Action Plan, Consolidated Plan, and CAPER are all submitted for 15-30 days of public comment, announced in *The Daily Territorial*, the Tucson-based publication of record for Pima County. The Citizen Participation Plan is carried out annually with the ultimate goal of engaging the public to discuss community needs. These public sessions also inform the public of County priorities, activities, and resource allocations that will impact these communities. Public notices and advertisements announcing open meetings are published a minimum of three weeks in advance. Finally, Pima County works directly with its Communications Department to develop and distribute a “paper CAPER” for distribution to the Board of Supervisors, County Administration, and the general public.

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CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

At this time, Pima County CWD does not anticipate any changes in program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	PIMA COUNTY
Organizational DUNS Number	033738662
EIN/TIN Number	866000543
Identify the Field Office	SAN FRANCISCO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	

ESG Contact Name

Prefix	Mrs
First Name	Jenifer
Middle Name	0
Last Name	Darland
Suffix	0
Title	Division Manager

ESG Contact Address

Street Address 1 2797 East Ajo Way
Street Address 2 0
City Tucson
State AZ
ZIP Code 85713-
Phone Number 5207247312
Extension 0
Fax Number 0
Email Address jenifer.darland@pima.gov

ESG Secondary Contact

Prefix Mr
First Name Daniel
Last Name Sullivan
Suffix 0
Title Director, Community & Workforce Development
Phone Number 5207247309
Extension 0
Email Address daniel.sullivan@pima.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2020
Program Year End Date 06/30/2021

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: PRIMAVERA FOUNDATION
City: Tucson
State: AZ
Zip Code: 85701, 2600
DUNS Number: 148847700
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 33000

Subrecipient or Contractor Name: TUCSON CENTER FOR WOMEN & CHILDREN DBA EMERGE

City: Tucson

State: AZ

Zip Code: 85716, 3426

DUNS Number: 842812067

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 37500

Subrecipient or Contractor Name: OUR FAMILY SERVICES, INC.

City: Tucson

State: AZ

Zip Code: 85716, 4012

DUNS Number: 148763402

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 16270.11

Subrecipient or Contractor Name: OLD PUEBLO COMMUNITY SERVICES

City: Tucson

State: AZ

Zip Code: 85711, 7015

DUNS Number: 002623366

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 16500

Subrecipient or Contractor Name: Pima County Community Development & Neighborhood
Conservation Department

City: Tucson

State: AZ

Zip Code: 85713, 6223

DUNS Number: 033738662

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 21352.5

Subrecipient or Contractor Name: Green Valley Assistance Services

City: Green Valley

State: AZ

Zip Code: 85614, 1805

DUNS Number: 942783969

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 22000

Subrecipient or Contractor Name: Pima County Sullivan Jackson

City: Tucson

State: AZ

Zip Code: 85713, 6223

DUNS Number: 033738662

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 32500

Subrecipient or Contractor Name: Interfaith Community Services

City: Tucson

State: AZ

Zip Code: 85741, 2502

DUNS Number: 809419398

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 16500

Subrecipient or Contractor Name: Sr Jose Women's Center

City: Tucson

State: AZ

Zip Code: 85701, 2610

DUNS Number: 080510029

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 42500

Subrecipient or Contractor Name: Pima County Community Resources Employment and Training (CSET)

City: Tucson

State: AZ

Zip Code: 85713, 6223

DUNS Number: 033738662

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 15637.5

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CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Shelter Information

DRAFT

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Pima County ESG performance standard for shelter utilization was calculated using bed-night data for six shelters: Emerge (domestic violence shelter), Our Family Services (managing Pima County hotel vouchers), Primavera Foundation's Pathways (serving families and youth), and Primavera Foundation's Casa Paloma and Sister José Women's Shelter (providing services to single and homeless women, respectively).

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	29,708	24,634	34,587
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	3,805	1,354	6,661
Expenditures for Housing Relocation & Stabilization Services - Services	15,068	6,045	13,822
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	48,581	32,033	55,070

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Essential Services	16,623	20,718	31,544
Operations	36,440	62,950	121,496
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	53,063	83,668	153,040

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2018	2019	2020
Street Outreach	0	14,229	12,700
HMIS	0	0	0
Administration	11,919	0	10,206

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2018	2019	2020
509	113,563	129,930	231,016

Table 29 - Total ESG Funds Expended

11f. Match Source

	2018	2019	2020
Other Non-ESG HUD Funds	0	1,351	33,120
Other Federal Funds	713	0	5,757
State Government	0	19,958	21,874
Local Government	14,437	43,296	37,287

Private Funds	1,199	40,604	55,881
Other	333	0	15,366
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	16,682	105,209	169,285

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2018	2019	2020
70,175	130,245	235,139	400,301

Table 31 - Total Amount of Funds Expended on ESG Activities