

# Executive Summary

## AP-05 Executive Summary - 91.200(c), 91.220(b)

### 1. Introduction

The Pima County Community Development and Neighborhood Conservation Department (CDNC) distributes local and federal funding to meet human services, housing and community needs. The Annual Action Plan is required by the U.S. Department of Housing and Urban Development (HUD) and serves as the formal application for the use of the Community Development Block Grant (CDBG) and Emergency Solutions Grant (ESG) programs. CDNC also receives HUD HOME Investment Partnership Program (HOME) funds through the City of Tucson-Pima County HOME Consortium. CDNC is the “lead agency” for CDBG and ESG funds, and the City of Tucson is the “lead agency” for HOME funds. The Annual Action Plan describes planned projects and funded activities for the 2019 program year, in addition to, detailing their relationship respective to Pima County’s five-year priorities, goals and objectives identified in its 2015-2019 HUD Consolidated Plan. The financial impact to the County is a total of \$3,916,309 in HUD grant revenue for FY 19-20 as detailed below:

HUD Grant	FY 19/20 Allocation	Recaptured Funds	Total Avail.
CDBG:	\$ 2,903,833	\$0	\$2,903,833
HOME:	\$779,400	\$0	\$ 779,400
ESG:	\$233,076	\$0	\$233,076

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

In general, HUD resources must be used to benefit low and moderate income (low-mod) households and neighborhoods. To address infrastructure, facility, housing and public service needs using HUD funding, Pima County has identified the following goals and objectives in its 2015-2019 Consolidated Plan:

1. Affordable Owner Housing;

2. Affordable Rental Housing;
3. Community and Rural Development;
4. Homelessness and Special Populations.

These objectives require specific actions that expand and preserve affordable housing, revitalize low and moderate-income neighborhoods, and promote fair housing choices. For example, the County intends to continue to significantly fund owner-occupied home repair activities to provide housing rehabilitation, weatherization, and accessibility services to low/moderate income homeowners. The outcome will maintain affordable owner housing and neighborhood revitalization. Also, the County, in consortium with the City of Tucson, will continue to make available HOME funds to applicants seeking Low-income Housing Tax Credits (LIHTC) from the State of Arizona Department of Housing (ADOH) for rental housing projects. In addition, HOME will be utilized to fund tenant-based rental assistance (TBRA). The outcome of these projects will result in increased affordable housing units through single and multi-family new construction. Human public service projects will be funded with CDBG and ESG to address basic human needs, youth programming, and homelessness. Finally, the County will continue to provide community public facilities and infrastructure funding in rural unincorporated Community Development Target Areas and within participating units of general local governments that will serve low and moderate-income communities and neighborhoods.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Progress made towards goals in the FY 2015-2019 Consolidated Plan points to strong successes in programmatically and fiscally administering HUD funded activities via subsequent HUD Annual Action Plans. To provide a few examples, owner-occupied housing activities are still a significant priority objective for Pima County. As such, Pima County seeks to further increase its impact administering existing federal, state, and local resources to provide home repairs and weatherization improvements fully incorporating the awarded HUD LBPHC + Healthy Homes funding. The LBPHC + Healthy Homes funding will directly assist families with children adversely affected with lead, and increases the capacity of both Pima County, in addition to, its subrecipients. Pima County has begun to conduct lead inspections, risk assessments, and abatement work within their respective housing programs. Activities that helped first-time homebuyers and stabilize neighborhoods have also achieved planned outcomes via the Neighborhood Stabilization Program 1 and 2 (NSP). Pima County has formally submitted its NSP2 closeout packet with HUD and looks forward to engaging the community via the County's Citizens Participation Plan regarding potential end uses for program income. Finally, Pima County and the City of Tucson have been effective in fully leveraging available HOME Consortium funds to three highly competitive LIHTC projects. One of the LIHTC projects reported during the FY2018-19

CAPER, The Ontario Project, was completed.

Pima County continues to make significant strides in reducing the number of projects that go into remediation. For a couple years now, Pima County has executed and communicated a policy via the Citizen's Participation Plan not to grant subrecipient term extensions for CDBG public service, housing rehabilitation, or ESG activities effectively recapturing funds and reprogramming to new or performing projects. Pima County makes it clear via its Citizen Participation Plan that any recaptured funds may be used to support or match Pima County initiatives including home repair, brownfields and clearance, demolition, and lead based-paint hazard control activities. All new and existing staff understand the annual process to programmatically administer CDBG and ESG funds are cyclical and—pending sequestration or other legislative delays—typically coincides with the federal fiscal year calendar, October through September. In brief, Pima County's HUD Annual Action Plan incorporates significant community outreach and public involvement (aka Citizens Participation Plan); funding priorities and recommendations; extensive public process and approvals; subrecipient monitoring; and finally, contract execution and federal reporting.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

In summary, the Citizen Participation Plan and associated public forums and workshops allow staff to work directly with the community to identify and prioritize potential projects or programs likely to respond to the Community Planning Application for Pima County CDBG and ESG funds. In total, staff held a series of 19 public forums and workshops in urban and rural communities throughout Pima County, in addition to, conducting two public meetings. This effort included conducting a series of technical assistance workshops related to the implementation Pima's Community Planning Application via the ZoomGrants cloud-based online application to broaden public participation. Another public meeting was conducted jointly with the City of Tucson. Direct technical assistance was also provided to City of South Tucson and Town of Marana staff—both which are active Pima County CDBG program subrecipients via Pima's Urban County Cooperative Agreement executed tri-annually. Participating Urban County CDBG subrecipients have the responsibility to determine their own local needs and priorities when submitting proposed programs and projects for consideration. Other rural and unincorporated communities were given individual technical assistance sessions for guidance. An example includes identifying and scheduling a meeting in with the Flowing Wells Neighborhood Association and Community Coalition Executive Board which is in the process of updating its HUD Neighborhood Revitalization Strategy Area (NRSA) plan. The Community Planning Pre-Application and subsequent Final Application for responsive proposals were due November 30, 2018 and February 1, 2019, respectively. In total, 73 proposals totaling \$5,051,087 in CDBG and ESG funds were received.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

In addition to the 19 community forums, workshops and technical assistance sessions, Pima County also conducted 1 public meeting in conjunction with the City of Tucson; there were no formal public comments for Pima County to address.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

N/A - There were no public comments.

## **7. Summary**

The program administration of HUD CDBG and ESG funds is an annual planning and public process guided by the priorities, goals, and objectives identified Pima County's HUD Five-Year Consolidated Plan; 2015-2019. The Consolidated Plan provides the regulatory framework for funding program or project activities that implement Pima County's existing affordable housing, homeless and community development policies and programs over its five-year period. For FY 19-20, Pima County received 73 applications and recommends initial funding for 59 CDBG and ESG program activities, subject to change.

**PR-05 Lead & Responsible Agencies - 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

<b>Agency Role</b>	<b>Name</b>	<b>Department/Agency</b>
Lead Agency	PIMA COUNTY	
CDBG Administrator	PIMA COUNTY	CDNC
HOPWA Administrator		
HOME Administrator		
ESG Administrator	PIMA COUNTY	CDNC

**Table 1 – Responsible Agencies**

**Narrative**

**Consolidated Plan Public Contact Information**

## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

The Community Development and Neighborhood Conservation Department (CDNC), who is responsible for all HUD planning processes, is also currently participating with PimaProsper, a collaborative to implement Pima County's Comprehensive Plan. The intent of PimaProsper is to incorporate and align Pima County's annual budget, capital improvement program, and future bonding programs with the goals of the plan where in the past, the County's general plan mainly only addressed land use. In addition, PimaProsper also seeks to incorporate a more robust community privatization, health, and human services policy. The plan's working group includes County Administration, other County Departments (most notably Planning and Development Services who have the most authority/responsibility for land use/zoning and building permitting policy), major stakeholders and community members. And Finally in regard to Assessment of Fair Housing (AFH) specific requirements, fortunately Pima County is not subject to previous or proposed AFH specific requirements at the time is operating under a current Analysis of Impediment to Fair Housing Choice (AI), which was completed in conjunction with this current Consolidated Plan available here: [https://webcms.pima.gov/UserFiles/Servers/Server\\_6/File/Community/CDNC/Publications/Annual%20Action%20Plan/5%20Year%20HUD%20Consolidated%20Plan%20FY%202015-2019.pdf](https://webcms.pima.gov/UserFiles/Servers/Server_6/File/Community/CDNC/Publications/Annual%20Action%20Plan/5%20Year%20HUD%20Consolidated%20Plan%20FY%202015-2019.pdf). It is through the ongoing implementation process to complete action items identified in PimaProsper that CDNC is working to affect public policy and processes that intentionally and simultaneously address the goals, objectives, and priorities identified in Pima County's Consolidated Plan.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

Pima County CDNC and the City of Tucson HCD both receive an ESG formula grant. Per HEARTH Act requirements, both the City and County directly collaborate the respective ESG application review and allocation processes with the local CoC, Tucson Planning Council for the Homeless. Specifically, CoC members included two from the CoC executive committee, one CoC staff, one from the emergency solutions committee, one from the discharge committee, and one from the HMIS committee.

For the ESG application reviews, a joint Pima County / City of Tucson / CoC Emergency Solutions Committee is formed to make funding recommendations to effectively address the needs of homeless persons within each grantee's jurisdiction. The CoC involvement ensures available 2019 ESG funds are utilized efficiently and effectively to avoid duplicate and unnecessary assistance with these limited funds. Pima County's priority is funding programs that serve the citizens of the unincorporated areas of Pima County, the City of South Tucson, Town of Marana, Town of Sahuarita and the Town of Oro Valley; whereas, the City of Tucson focused within its city limits.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

As previously discussed, the City of Tucson and Pima County participate in the TPC Emergency Solutions and Performance Evaluation and Monitoring working groups. The Emergency Solutions working group provides an avenue for the City of Tucson and Pima County to obtain input into the distribution of ESG funds, and members of the working group assist with the City of Tucson and Pima County application review when no conflict of interest exists. Also during the joint Pima County, City of Tucson, CoC review of ESG applications, the following evaluation procedures are incorporated into the final funding recommendation; included, but not limited to: HMIS data completion, timely expenditure of funds, and agency capacity. Finally, the Performance Evaluation and Monitoring working group establishes performance standards, establishes at least three performance measures for each contract, and reviews quarterly reports to evaluate outputs and outcomes. The HMIS system is administered by Pima County. The City of Tucson allocates a portion of their ESG funds to support Pima County's administration of HMIS. To summarize, a lot of work, time and effort goes into the administration of ESG.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City of Tucson and Pima County participate in the TPC Emergency Solutions and Performance Evaluation and Monitoring working groups. The Emergency Solutions working group provides an avenue for the City of Tucson and Pima County to obtain input into the distribution of ESG funds, and members of the working group assist with the City of Tucson and Pima County application review when no conflict of interest exists. Also during the joint Pima County, City of Tucson, CoC review of ESG applications, the following evaluation procedures are incorporated into the final funding recommendation; included, but not limited to: HMIS data completion, timely expenditure of funds, and agency capacity. Finally, the Performance Evaluation and Monitoring working group establishes performance standards, establishes at least three performance measures for each contract, and reviews quarterly reports to evaluate outputs and outcomes. The HMIS system is administered by Pima County. The City of Tucson allocates a portion of their ESG funds to support Pima County's administration of HMIS.

**2. Agencies, groups, organizations and others who participated in the process and consultations**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	Tucson Pima Collaboration to End Homelessness
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services - Victims Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	

2	<b>Agency/Group/Organization</b>	City of Tucson Department of Housing and Community Development
	<b>Agency/Group/Organization Type</b>	Housing PHA Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Other government - Local Planning organization

<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	

**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Tucson Pima Collaboration to End Homelessness	Pima County is one member of the Continuum of Care, which is operated by the Tucson Pima Collaboration to End Homelessness. All Consolidated Plan goals related to homelessness overlap the goals of the Continuum of Care.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
PimaProsper Comprehensive Plan	Pima County	PimaProsper is the comprehensive plan that covers most aspects of county services, establishes a vision and overarching principles (notably healthy communities), and focuses on people and the economy in general. It is the product of the realization that most of the development pattern of the unincorporated county is now set and the time to more fully address physical and human services delivery is now.
Flowing Wells NRSA	Pima County CDNC	The HUD Neighborhood Revitalization Strategy Area Plan for the unincorporated community of Flowing Wells. Pima County will be providing either public service or CDBG non-profit organization capacity building funds.
Community Development Area Booklet (Draft)	Pima County CDNC	Department level community profile document which identifies unincorporated communities eligible for CDBG Area Benefit activities.
Infill Incentive District Plan	Pima County	Pima County CDNC is utilizing available EPA Community-Wide Assessment Grant fund, as identified in AP-15 Expected Resource, to assist in the creation of a Community Outreach and Infill Incentive District Plan to promote revitalization and the redevelopment of Brownfields sites in unincorporated Pima County. If available, CDBG Administration funds may be utilized to support and leverage this effort.

**Table 3 - Other local / regional / federal planning efforts**

**Narrative**

## **AP-12 Participation - 91.401, 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

Pima County prioritizes its CDBG and ESG resources to fund activities primarily in unincorporated communities and within units of general local government (UGLG's) per HUD Urban County Cooperative Agreements. Pima County CDNC staff held 19 community forums and technical assistance workshops in rural and urban unincorporated communities throughout Pima County to discuss available funding and identify local goals and objectives. Pima County also meet with the Town of Marana and City of South Tucson whom are active CDBG subrecipients. Each community is unique with its own set of needs that are directly taken into consideration when subsequent projects are submitted for ESG and CDBG funding. Many of the organizations provided with consultations also applied for CDBG and ESG funding in each respective community. Listed below are the main technical assistance workshops that were advertised and attached is 2019-2020 CDBG ESG Community Participation Schedule.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Meeting	Minorities Non-English Speaking - Specify other language: Spanish Persons with disabilities Nonprofit Agencies	Public meeting was held on March 12, 2019 in conjunction with the City of Tucson to update the public on the current Five-Year Consolidated Plan and input on the 2019 Annual Action Plan. The meeting was held at the City of Tucson Housing and Community Development Department. Various stakeholders attended.	None	None	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
2	Public Meeting	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Nonprofit Agencies</p>	<p>Technical Assistance session held at Pima County's Housing Center on October 24, 2018. Staff provided TA on CDBG application process-eligibility, guidelines, and Zoomgrants application process.</p>	None	None	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
3	Public Meeting	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Nonprofit Agencies</p>	<p>Technical Assistance session held at Pima County's Housing Center on November 14, 2018. Staff provided TA on CDBG application process-eligibility, guidelines, and Zoomgrants application process.</p>			

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
4	Public Meeting	Minorities Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing Nonprofit Agencies	This final public meeting occurred May 7, 2019 at the Pima County Board of Supervisors public approval process for this FY19 HUD Annual Action Plan.			

Table 4 – Citizen Participation Outreach

## Expected Resources

### AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

#### Introduction

The Pima County CDNC funds numerous nonprofit organizations, units of general local government, and other Pima County departments. For most programs, organizations and activities are selected annually through a competitive request for proposals process, the Community Planning Application reference in the Citizen's Participation Plan. CDNC anticipates the following resources will be made available to address needs and

market conditions.

**Anticipated Resources**

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,903,833	0	0	2,903,833	0	Pima County estimates \$2.9 million in Community Development Block Grant (CDBG) funds per each Annual Action Plan. For program year 5 Pima County has been allocated \$2,903,833 in CDBG resources utilized to fund a variety of activities that meet the needs of low- and moderate-income residents and communities identified in the HUD Consolidated Plan projects as listed below: 1. Rehabilitation, Emergency Repair & Weatherization2. Human/Public Services 3. Community Facilities & Infrastructure 4. Demolition of Unsafe Vacant Structures 5. Commercial Structure Rehabilitation 6. Economic Development

ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	233,076	0	233,076	466,152	0	Pima County estimates \$233,076 in Emergency Solutions Grant (ESG) funds per each Annual Action Plan. For program year 5, Pima County has been allocated \$233,076 in ESG resources utilized to fund a variety of activities that meet the needs of homeless Pima County residents in communities identified in the HUD Consolidated Plan. Activities are selected in direct consultation and cooperation with the local HUD designated Continuum of Care entity, Tucson Pima Collaboration to End Homelessness (TPCH). Additionally, Pima County anticipates at least 100% match from a variety of eligible federal, state, local and private funding sources as identified in each subrecipient application for funding and later reported in each Consolidated Annual Performance Evaluation Report. Additional detail is provided on an activity level basis as activities are set up are set up in IDIS post HUD approval of the AAP. The Emergency Solutions Grant (ESG) program provides funding as follows as identified in the Consolidated
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Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
								Plan:1. Engage homeless individuals and families living on the street;2. Improve the number and quality of emergency shelters;3. Help operate shelters; 4. Provide essential services to shelter residents;5. Rapidly re-house homeless individuals and families; and 6. Prevent families and individuals from becoming homeless.
Continuum of Care	public - federal	Admin and Planning Financial Assistance Rental Assistance Services Transitional housing	0	0	0	0	0	

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Acquisition Admin and Planning Conversion and rehab for transitional housing Financial Assistance Housing Rental Assistance Transitional housing	779,400	0	0	779,400	0	HOME Investment Partnership Program (HOME) resources are typically used to benefit low- and moderate-income owners and low-income renters through housing rehabilitation, acquisition, and new construction activities. Pima County will explore utilizing TBRA as a strategy to assist qualified households in moving out of substandard mobile homes in trailer parks, in addition to, supporting the development of new affordable housing units.
Other	public - federal	Admin and Planning Financial Assistance Overnight shelter Public Services Services	411,233	0	0	411,233	0	FEMA Emergency Food & Shelter Program funds are used to provide services determined by a Local Board to meet emergency food and shelter needs of families experiencing a crisis.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Financial Assistance Housing Rental Assistance Services	451,333	0	0	451,333	0	Pima County was successful in renewing its Housing Opportunities for Persons with HIV/AIDS-Competitive grant for another 3-year term beginning July 1, 2017. The HOPWA-C program funds housing assistance and support services for eligible families living with HIV/AIDS. Pima County CDNC is the grantee administering the HOPWA-C grant, in direct collaboration with its sole subrecipient and project sponsor, Southern Arizona AIDS Foundation, Inc. HOWPA-C activities include administration, support services, operations of permanent housing units, and TBRA.

Other	public - federal	Housing	1,200,000	0	0	1,200,000	0	<p>The Pima County Home Repair &amp; Weatherization Program utilizes various federal, state, and local utility funds to support a more comprehensive housing rehabilitation effort and maximize impact. The program is made available to qualified households who live in unincorporated Pima County, the City of South Tucson, and the Towns of Sahuarita, Marana and Oro Valley. Conventional and manufactured homes are eligible for rehabilitation that may include repair or replacement of major systems including but not limited to roofs; water, sewer or septic; electrical and gas; and, heating and cooling. It is important to note that CDBG funds are crucial in fill funding gaps the other federal, state and local utility resources cannot. Finally, Pima County will also incorporate LBPHC + Health Homes funding into the Pima County Home Repair &amp; Weatherization Program and portfolio of funding resources with eligible CDBG activities for lead based paint</p>
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Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
								testing, abatement, and potential relocation serving as required match.

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Other	public - federal	Public Improvements Other	150,000	0	0	150,000	0	EPA Brownfields Community-Wide Assessment Grant funds provide resources to identify and environmentally characterize vacant and underutilized commercial and industrial sites in an effort to promote community revitalization through reuse. EPA funds also directly leverage Pima County CDBG activities, for example, brownfields and clearance, demolition, and commercial facade. In addition to conducting various Environmental Site Assessments (Phase I/II) and cleanup plans on public and private properties, EPA funds also support a community outreach effort to create Pima County's first Infill Incentive Districts, as identified in the PimaProsper Comprehensive Plan and allowable per Arizona Revised Statutes. The Infill Incentive Districts will allow the County to develop incentive that address both regulatory and financial barriers to revitalization.
Other	public - local	Admin and Planning Financial Assistance	3,450,786	0	0	3,450,786	0	The Outside Agency Program funds nonprofit agencies that meet basic needs in five categories, funded as follows: Community Services;

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
		Overnight shelter Public Services Services						\$332,700Emergency Food and Clothing: \$769,000Senior Support: \$402,400Support Services: \$169,000Youth Services: \$711,161General Services: 1,066,525
Other	public - local	Housing	0	0	0	0	0	
Other	public - local	Public Improvements	0	0	0	0	0	

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

CDBG and ESG funds are not adequate to address the wide range of needs. The narrative description of additional funding sources describes how those funds might be used. HOME match requirements will be satisfied as described in the City of Tucson (lead agency) Consolidated Plan. Other Housing Rehabilitation and Weatherization funding derives from other federal, state and local utility funds. ESG matching requirements are negotiated during the agency contracting process yet may be met through Pima County's Outside Agency Program investments. Also, Pima County Outside Agency funds may serve as leverage for agencies awarded to conduct ESG and CDBG public services activities.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Pima County does own surplus property; however, we have not identified a specific property that would be appropriate for HUD funds through

CDBG or HOME. In the future, Pima County may be able to identify an appropriate site for HOME or CDBG.

**Discussion**

Pima County administers various federal, state, and local grants and is actively pursuing additional resources to leverage and enhance existing efforts.

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## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Owner-occupied Housing Rehabilitation	2015	2019	Affordable Housing	TOWN OF MARANA Ajo Countywide	Decent Affordable Owner Housing	CDBG: \$1,252,000 Housing Rehabilitation Program: \$650,000	Homeowner Housing Rehabilitated: 300 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Human and Public Services	2015	2019	Affordable Housing Non-Housing Community Development	FLOWING WELLS NEIGHBORHOOD REVITALIZATION STRATEGY AREA AMADO CITY OF SOUTH TUCSON DREXEL HEIGHTS/VALENCIA WEST AREA TOWN OF MARANA SAHUARITA ROBLES JUNCTION ARIVACA AVRA VALLEY Ajo Countywide Eligible Target Area	Community and Rural Development Special Needs Populations	CDBG: \$419,600	Public service activities other than Low/Moderate Income Housing Benefit: 50000 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 0 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Community Facilities and Infrastructure	2015	2019	Non-Homeless Special Needs Non-Housing Community Development	FLOWING WELLS NEIGHBORHOOD REVITALIZATION STRATEGY AREA CITY OF SOUTH TUCSON AMADO TOWN OF MARANA DREXEL HEIGHTS/VALENCIA WEST AREA RILLITO SAHUARITA ROBLES JUNCTION ARIVACA AVRA VALLEY Ajo Continental Countywide Eligible Target Area	Community and Rural Development Special Needs Populations	CDBG: \$509,974	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 75000 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 200 Persons Assisted Homeless Person Overnight Shelter: 400 Persons Assisted
4	Emergency Shelter	2015	2019	Homeless	Countywide	Homelessness	ESG: \$107,000 FEMA Emergency Food & Shelter Program: \$147,297	Homeless Person Overnight Shelter: 854 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Homelessness Prevention	2015	2019	Homeless	Countywide	Homelessness	ESG: \$123,190 FEMA Emergency Food & Shelter Program: \$165,000	Homelessness Prevention: 183 Persons Assisted
6	Rapid Rehousing/TBRA	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs	Countywide	Homelessness	ESG: \$0 HOME funds through Tucson-Pima Consortium: \$50,000	Tenant-based rental assistance / Rapid Rehousing: 91 Households Assisted
7	Housing and Services for Special Populations	2015	2019	Homeless Non-Homeless Special Needs	Countywide	Special Needs Populations	HOPWA-C: \$451,861	HIV/AIDS Housing Operations: 40 Household Housing Unit
8	Outreach, Support Services and Case Management	2015	2019	Homeless	Countywide	Homelessness	ESG: \$32,000	Other: 150 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
9	Administration	2015	2019	Affordable Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	Countywide	Community and Rural Development Decent Affordable Owner Housing Decent Affordable Rental Housing Homelessness Special Needs Populations	CDBG: \$567,259 ESG: \$17,207 FEMA Emergency Food & Shelter Program: \$8,225	Other: 1 Other
10	Commercial Structure Rehabilitation	2015	2019	Non-Housing Community Development	Countywide Eligible Target Area	Community and Rural Development	CDBG: \$100,000	Facade treatment/business building rehabilitation: 2 Business Businesses assisted: 5 Businesses Assisted

Table 6 – Goals Summary

**Goal Descriptions**

1	<b>Goal Name</b>	Owner-occupied Housing Rehabilitation
	<b>Goal Description</b>	Rehabilitation, emergency repairs (including septic) and accessibility improvements for low and moderate income homeowners. Other federal, state, and local utility weatherization funding will also be incorporated into most of the CDBG Owner-occupied Housing Rehabilitation funded activities as available and appropriate.
2	<b>Goal Name</b>	Human and Public Services
	<b>Goal Description</b>	Services to meet the basic needs of low and moderate income households, including people with special needs.
3	<b>Goal Name</b>	Community Facilities and Infrastructure
	<b>Goal Description</b>	This goal incorporates both Community Public Facilities and Infrastructure Improvements projects identified separately in AP-35, Project Summary.
4	<b>Goal Name</b>	Emergency Shelter
	<b>Goal Description</b>	Operating support and essential services for emergency shelter facilities and program utilizing ESG funds and leverage/matching resources.
5	<b>Goal Name</b>	Homelessness Prevention
	<b>Goal Description</b>	Rent, mortgage and utility assistance, in addition to, other housing assistance for people at risk of homelessness.
6	<b>Goal Name</b>	Rapid Rehousing/TBRA
	<b>Goal Description</b>	ESG Rapid re-housing and HOME tenant based rental assistance for people experiencing homelessness. For this PY2019 Pima County AAP, the CoC review committee did not recommend funding Rapid Rehousing with ESG. For HOME, Pima County is a participating jurisdiction with the City of Tucson and anticipate 6 TRBR household as noted in the City of Tucson AAP.

7	<b>Goal Name</b>	Housing and Services for Special Populations
	<b>Goal Description</b>	
8	<b>Goal Name</b>	Outreach, Support Services and Case Management
	<b>Goal Description</b>	For this PY2019 Pima County AAP, the CoC review committee recommended funding Street Outreach with ESG.
9	<b>Goal Name</b>	Administration
	<b>Goal Description</b>	Administration of CDBG and ESG programs to ensure compliance with statutory and regulatory requirements.
10	<b>Goal Name</b>	Commercial Structure Rehabilitation
	<b>Goal Description</b>	<p>Pima County is in the process of creating a Commercial Facade Program. This goal includes other CDBG eligible economic development and related public service activities including but not limited to:</p> <ol style="list-style-type: none"> <li>1. Small Business Development</li> <li>2. Microenterprise Development</li> <li>3. Business Incubators</li> <li>4. Job Training and other public services</li> </ol>

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## AP-35 Projects - 91.420, 91.220(d)

### Introduction

The following projects and activities have been selected through a competitive Community Planning Application process administered via the County's Citizen's Participation Plan. Pima County has conducted risk assessments per 2 CFR 200 compliance for all activities. All recommended project activities have been approved and recommended for funding by the Pima County Board of Supervisors on May 7, 2019 via an open and public process per HUD regulations. For Pima County's program year 2019-20 HUD AAP, Pima County's total available CDBG funding is \$2,903,833.

#	Project Name

Table 7 – Project Information

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

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**AP-38 Project Summary**  
**Project Summary Information**

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## AP-50 Geographic Distribution - 91.420, 91.220(f)

### Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Pima County consists of 9,189 square miles - an area larger than the States of Rhode Island, Delaware and Connecticut combined. Pima County also shares the longest international border with Mexico in the country. There are five incorporated cities and towns in Pima County, yet the County is mostly unincorporated and includes 23 Census Defined Places. During the Citizen Participation Plan, staff conducts a variety of community forums and technical assistance workshops in these communities to market the CDBG program and it's eligible activities. Not all target areas will submit projects each year, nor will all target areas have projects that are funded each year.

Pima County prioritizes its CDBG funds in unincorporated Community Development Target Areas and with its units of general local government via its Urban County designation including, but not limited to the following:

- Ajo, Arivaca, Catalina, Drexel Heights, Flowing Wells, Marana, Rillito, Robles Junction/Three Points, South Nogales Highway, Cardinal, Avra Valley, Continental, Kleindale, Oracle/Ina Neighborhoods, The Stravenues, Trailerrancho Estates, Saguaro Foothills, Valencia West, Amado, Helmet Peak (Pending)

### Geographic Distribution

Target Area	Percentage of Funds
FLOWING WELLS NEIGHBORHOOD REVITALIZATION STRATEGY AREA	
SOUTH NOGALES	
CITY OF SOUTH TUCSON	
CATALINA	
AMADO	
CITY OF SOUTH TUCSON	
TOWN OF MARANA	
DREXEL HEIGHTS/VALENCIA WEST AREA	
RILLITO	
WHY	
TOWN OF MARANA	
SAHUARITA	
ROBLES JUNCTION	
LITTLETOWN	
ARIVACA	
AVRA VALLEY	

Target Area	Percentage of Funds
Rillito	
Ajo	
Continental	
Countywide	
Eligible Target Area	

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

During Citizen's Participation Plan process, CDNC conducts a Community Planning Application, or RFP, for programs and projects, combining CDBG, Emergency Solutions Grant and Outside Agency Program funds. The Town of Marana and the City of South Tucson, the County's two subrecipients, also followed a community planning process and submit funding recommendations to CDNC for activities in their jurisdictions.

All areas of unincorporated Pima County and incorporated jurisdictions outside the City of Tucson are eligible to apply for CDBG funds from Pima County. Some areas are eligible for designation as a Pima County Community Development Target Area (CDTA). The CDTA designation supports the CDBG National Objective of providing benefit to low- and moderate-income persons on an area basis (LMA) utilizing available Summary Data (LMISD) from HUD. In areas not designated as CDTA's, Pima County will work with area agencies to conduct community driven surveys to determine LMA compliance or reconsider the National Objective and activity.

Pima County identified several changes to historic Community Development Target Areas. Three historically-eligible areas are no longer eligible including the unincorporated communities of Helmet Peak, Picture Rocks and Why, Arizona. Pima County will be working with these communities to identify methods that may be employed to support continued community improvements. There are also newly eligible Census Block Groups and Census Tracts in unincorporated Pima County eligible for the CDTA designation. Pima County will be working with these communities and policy makers to include them in future Annual Action Plans and an updated *Pima County Community Development Target Areas 2015-2019* booklet.

### **Discussion**

Pima County's extensive review process for CDBG and ESG proposals is similar, yet slightly varied. For both, a two-part program staff review is conducted during February and March. First, Pre-Applications are reviewed by staff to ensure full compliance with HUD regulations. The Pre-Applications also allows program staff to conduct direct technical assistance to agencies in an effort to solicit more complete and responsive application submittals. The second phase of program staff review includes the thorough analysis of the Final Applications. Staff recommendations are then forwarded to a program manager level "Concurrence Review" team consisting of personnel from various community service divisions and

departments to ensure recommended agencies are in good standing across multiple programs. Once managerial level review is complete, revised recommendations are reviewed by the CDNC Department Director and the County Administrator's Office before being forwarded to respective Board staff for further evaluation and analysis.

For CDBG, Pima County received 73 applications totaling \$5,051,087 in requests. Pima County subsequently made CDBG funding recommendations for **47** projects, allocating the total \$2,903,833 utilizing the following funding priorities, evaluation, and analysis at each level of review:

1. Compliance and consistency with federal laws and regulations.
2. Degree to which project benefits very low- to moderate-income residents in unincorporated Pima County with emphasis in established Community Development Target Areas and in Participating Jurisdictions.
3. Urgency of community development need, and identified community support, addressed by project.
4. Cost and feasibility analysis.
5. Ability to leverage other resources.
6. Applicant budget analysis including previous years funding and timely expenditure of funds.
7. Agency is in good standing with the County and the Arizona Corporation Commission.
8. Applicant capacity and experience with federal funds and program requirements.

For ESG funding recommendations, Pima County employs the same funding priorities in its review and recommendation process utilized for CDBG, in addition to, adhering to federal HEARTH Act requirements. In short, participation, review and recommendations from the homeless population and the local Continuum of Care, Tucson Planning Council for the Homeless (TPCH), is fully incorporated into the final ESG funding allocations for Board and HUD approval. In summary, 13 applications totaling \$575,658 in requests were reviewed. Final ESG recommendations include funding 12 programs for eligible activities totaling \$233,076 in available FY 2019/20 funds.

For awarded agencies, Pima County staff conducts a post award training to provide programmatic and financial direction to ensure grant compliance. Also, in a continued departmental effort to build agency capacity, staff is developing and will make available a technical assistance meeting for agencies not recommended funding.

## **AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)**

### **Introduction**

Pima County provides a variety of resources to support agencies that address the needs of homeless individuals and families and people with special needs. In addition to ESG funds and CDBG public services funding, the County annually allocates Federal FEMA funds and County general funds through its Outside Agency Program. The County also allocates to agencies Community Services Block Grant funding via its Community Services and Employment and Training Department which effectively serve as match for most ESG funded activities. Pima County's ESG focus is on programs that serve unincorporated areas, the City of South Tucson, and the Towns of Marana, Sahuarita and Oro Valley.

A committee consisting of representatives from Pima County, the City of Tucson and the Continuum of Care Emergency Solutions Grant Subcommittee made funding recommendations in March to ensure finite ESG funds are distributed within each jurisdiction's identified target areas with limited duplication.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The Tucson Pima Collaboration to End Homelessness has finalized the Coordinated Entry Policies and Procedures. Coordinated Entry is a standardized access and assessment model as well as a coordinated referral and housing placement process. The goal is to ensure that people experiencing homelessness receive appropriate housing assistance and services.

The Coordinated Entry process is a "no wrong door" approach that uses a standardized process from initial engagement to successful housing placement. In summary, the policies and procedures call for:

- Trained homeless service providers to use the Vulnerability Index and Service Prioritization Decision Assistance Tool (VI-SPDAT) and Family Service Prioritization Decision Assistance Tool (F-SPADT) as the common assessments unless the assessor believes the depth of the individual or family's vulnerability warrants a full SPDAT.
- If an individual agrees to participate in the coordinated entry process, they are asked to sign the release of information before proceeding with the assessment. The information is entered into

HMIS.

- Each housing program will serve as the primary point of contact to assist a matched client with navigating their matched housing program. The navigator will work with outreach teams to locate the client and help collect any documentation needed for a voucher. Housing navigators are those who currently work for agencies participating in Coordinated Entry.
- A uniform process is used across the community for assessing individuals, matching them to an intervention, and within each category, prioritizing placement into housing.
- The housing matching prioritization process for permanent supportive housing and transitional housing and rapid rehousing use the VI-SPDAT or FVI-SPDAT scores to triage individuals into the appropriate category of intervention. The criteria for permanent supportive housing (in rank order) include:
  1. Medical Vulnerability
  2. Overall Wellness
  3. Unsheltered Sleeping Location
  4. Length of Time Homeless
  5. Date of VI-SPDAT assessment

For FY2019, Pima County will monitor ESG subrecipients to ensure that 100% of clients who benefit from ESG funds have been assessed using the SPADT tool, and their information has been input into HMIS.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Pima County will invest ESG, Outside Agency Program and FEMA Emergency Food and Shelter program resources to support emergency and transitional housing facilities and services to meet the needs of homeless persons. As previously mentioned, CSBG funds are also administered by the County to local agencies which effectively provide ESG match for similar eligible activities. In addition, Pima County in cooperation with the City of Tucson, will program CDBG funds to support local agency public facility activities that provide energy efficient upgrades to emergency shelter, transitional and permanent housing to improve livability and create a healthier environment for people experiencing homelessness.

Furthermore, Pima County anticipates that a minimum of 80% of clients who benefit from ESG Emergency Shelter funds will exit the subrecipient's respective temporary shelter services within 90 days.

### **Helping homeless persons (especially chronically homeless individuals and families, families**

**with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

In addition to Pima County, City of Tucson and CoC resources and funding processes identified in this Annual Action Plan, the County has implemented PimaCountyHelp.org—a housing and public service assistance website that helps match people with housing programs, rental housing and related resources.

Website users answer a series of questions and receive a list of probable housing services. A second list is provided that includes services excluded from the probable list. Users of the site can then contact the service provider to determine if they are eligible.

The goal is to provide a comprehensive website for housing related services for Pima County residents. Pima County is in the process of expanding the system to include referrals for emergency and transitional shelter, and housing related services such as home repair, reverse mortgage programs, housing adaptation and utility assistance.

Furthermore, County and regional efforts to increase economic activity, promote jobs-based education, and provide services to help lower-income households attain higher-wage employment are designed to reduce the incidence of poverty.

In FY2019, 50% of clients who participate in the ESG program will be comprised of families with children under the age of 18.

Stabilization of people in crisis is a forerunner to their movement out of poverty. Public services such as fresh food, hygiene supplies, support for non-traditional families and people with special needs, transportation services and activities for youth are critical and will continue to be funded with Pima County General Funds.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities,**

**foster care and other youth facilities, and corrections programs and institutions) or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Pima County targets numerous resources to preventing homelessness beginning with services that help poverty-level and low-income households to meet their basic needs through financial education to reduce the likelihood of financial crisis. CDBG, ESG, FEMA Emergency Food & Shelter, HOPWA, and the Outside Agency Program (General Funds) will invest over \$1.1 million in services that support people where they live and prevent homelessness. ESG funds will prevent homelessness for approximately 129 unduplicated individuals.

### **Discussion**

FY2019-20 is the third and final year of a \$1,353,465 three year Competitive HOPWA grant to provide permanent supportive housing to individuals living with HIV/AIDS and their families. The HOPWA competitive grant is a successful collaboration between Pima County and the Southern Arizona AIDS Foundation (SAAF). Pima County and SAAF will attempt to renew the HOPWA competitive grant in 2020. Competitive HOPWA provides funding for permanent housing units, tenant-based rental assistance, supportive services, and implementation of a centralized assessment system for clients with HIV/AIDS.

Finally, CDNC is implementing Social Impact Financing as an alternative to the decline in federal and state grant funds for social programs. Pay for Success and Social Investment Bonds have been implemented in various locations as strategies to induce private and philanthropic investments and fund evidence-based practices for social interventions that lead to preferred outcomes. The Pay for Success model addresses the gap in housing for individuals who are being released from incarceration, have not secured housing, and would otherwise be homeless. An example includes interventions that reduce the incidences of recidivism or repeat offenses by ensuring that recently released residents have reliable shelter.

The White House through it's Corporation for National and Community Service – Social Innovation Fund is providing funds to conduct feasibility studies for localities with the potential to implement Pay for Success projects. Pima County is utilizing General Funds for the first-ever County-funded Pay for Success model implementation. The County Administrator's office has created a position to oversee this effort. CDNC staff is actively working with designated staff to identify Pay for Success projects in Pima County.

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## **AP-75 Barriers to affordable housing -91.420, 91.220(j)**

### **Introduction**

Many barriers to affordable housing still impact low-income persons in Pima County, including impeding public policies such as land use controls, tax policies, zoning ordinances, building codes, fees and charges, growth limitations and those affecting the return on residential investment. Pima County, in coordinated efforts as outlined in the 5-year Consolidated Plan with the City of Tucson will increase administrative efficiency, as well as delivery system of internal application review and funding. In doing so, these enhancements will positively impact affordable housing development, as well as other planned activities.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

FY 19-20 will mark the County's fifth year of implementation of both is HUD Consolidated Plan and its long range Comprehensive Plan, PimaProsper. Many goals and objective identified in the Consolidated Plan have been directly incorporated as action and implementation items in the general plan. The outcome is a program that provides a structure for directly and indirectly addressing regulatory barriers to affordable housing development, including the goals and policies as they pertain to affordable housing, fair housing, homelessness and community development. Listed below are joint efforts planned or currently underway:

1. Creation and implementation of Infill Incentive Districts designed to provide both regulatory incentives and financial resources to stress unincorporated areas subject to crime, vacant and underutilized properties, a decline in population and other factors. CDBG funds will play a critical part in the planning and funding of fiscal resources via its CDNC administered Brownfields, Demolition, and Commercial Façade Programs.
2. Reviewing the Inclusive Design Ordinance to consider further changes that will encourage aging in place, universal design and accessibility amendments.
3. Supporting a secondary dwelling ordinance or update to include innovative design and development standards, permit procedures, community education and a variety of incentives such as flexible zoning requirements and development standards; and allowing for owner-occupancy in either primary or secondary units.
4. Supporting an incentive program for developers to build innovative residential product types and designs of varying densities.

5. Integrating fair housing goals into planning and development processes to provide for a range and mix of household incomes and family sizes.
6. Providing a manual of strategies for creating a healthier environment based on the latest research and best practices in the field.
7. Implementing a Health Impact Assessment program for public and publicly-funded projects based on healthy communities principles.
8. Embracing principles of affordability and green building as mutually beneficial in reducing energy consumption, water use, material use and creating a healthier indoor environment; periodically updating existing codes and regulations to include the latest green standards, techniques and material; and creating an incentive program to encourage incorporation of green building techniques.
9. Affordable Housing Waivers are available to households in the unincorporated areas of Pima County who meet the income limits as determined by the United States Department of Housing and Urban Development. A household may receive only one Affordable Housing Waiver for the amount of the Roadway Development Impact Fee only, and it does not cover the \$50.00 administrative fee or any other building permit costs.

## Discussion

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

Pima County's central long-term community development goal is to build healthy communities, focusing resources on community needs, particularly the needs of low and moderate income and other vulnerable residents. The creation and maintenance of *healthy thriving communities* is the central theme of Pima County's 5-year HUD Consolidated Plan.

The principle of healthy communities means that communities provide the opportunities for people to thrive - economic growth, social vitality, environmental responsibility, access to healthy food, and to the extent possible access to transportation and housing choices.

During the coming year, the CDNC Housing Center will continue to:

- Develop strategies to improve and create affordable housing that supports and sustains healthy and safe communities.
- Collaborate with the Pima County Health Department and community stakeholders interested in affordable housing to provide a platform to address health issues, particularly those linked to substandard housing.
- Actively participate in the Arizona Partnership for Healthy Communities, a statewide partnership of health, transportation and housing organizations that work together to support multi-sector projects that benefit the health and well-being of low income communities throughout Arizona.

Each year, CDNC follows a Community Planning Process for projects, combining CDBG, Emergency Solutions Grant and outside Agency Program Funds. The Town of Marana and the City of South Tucson, the County's two Units of General Local Government (UGLG) subrecipients, also follow a community planning process.

Application forms will be posted on CDNC's website and e-mailed, mailed or handed to area agencies or residents attending one of the public meetings. Each funding proposal will reviewed using the following

criteria.

#### Eligibility of project

- Leverage of other funds
- Geographic distribution of projects
- Total cost and cost feasibility
- Urgency of the project
- Capacity for project to achieve objectives and be successful
- Capacity of project to clearly link to HUD statutory objectives and goals
- Coordination with other community development efforts
- Evidence of substantial neighborhood or public support
- Benefit to a high number of low/moderate income people
- Plan for permanent funding
- Ability to spend funds in timely manner

#### **Actions planned to address obstacles to meeting underserved needs**

The Outside Agency Program provides Pima County General Funds to non-profit agencies that meet basic needs in five categories:

1. Community Services: overarching services for the entire County.
2. Emergency Food and Clothing: attending to the immediate needs of food & clothing.
3. Senior Support: services for the vulnerable elderly (meals, socialization, recreation, and volunteer coordination).
4. Support Services, Shelter and Domestic Violence Services: temporary shelter (for homeless, victims of domestic violence, teens, etc); support services (case management, legal assistance, housing location assistance, etc.); and preventing homelessness among all vulnerable populations (identify, intervene and transition to permanent affordable housing).
5. Youth, Young Adults and Family Support: services for vulnerable and at-risk children, youth, young adults and families.

CDNC is exploring options to create a Livability Index that will replace the stress index that measures neighborhood and community needs. The Livability Index will go beyond needs indicators and include positive neighborhood and community attributes and accomplishments, and potential for success and inter-connectivity. CDNC is working with consultants to develop tools that that will provide an

opportunity to use this wide-ranging information in multiple ways.

### **Actions planned to foster and maintain affordable housing**

- Promote homeownership by providing down payment and closing cost assistance to low and moderate income households in partnership with the City of Tucson, local HUD approved housing counseling agencies and locally approved Community Housing Development Organizations.
- Promote the development of affordable workforce housing, transit-oriented housing and employer-assisted housing to serve low-income homebuyers and renters.
- Coordinate resources with the Pima County Community Land Trust to provide affordable housing units.
- Prioritize projects that maximize leveraged funding from other public and private resources such as the Low Income Housing Tax Credit Program; Federal Home Loan Bank Affordable Housing, WISH and IDEA Programs; local bond programs; and land and resources donated by municipalities.
- Redevelop foreclosed properties and vacant/blighted properties to further affordable, decent and safe housing for low- and moderate-income homebuyers.
- Assist eligible low and moderate income property owners in the development of affordable housing by waiving the Pima County Roadway Impact Fee.
- Develop a housing rehabilitation/replacement pilot program model that will use HOME funds to leverage other resources to the greatest extent possible.
- Continue to provide affordable housing referral and information access, including foreclosure assistance through the Pima County Housing Center and web-based listings of affordable rental housing choices and service resources on PimaCountyHelp.org.
- Continue monitoring of previously funded affordable housing activities for compliance with federal and local regulations.

In 2019, Southwest Fair Housing Council, Inc. (SWFHC) will receive \$25,000 of CDBG funding to provide fair housing education, outreach and enforcement activities throughout Pima County. Housing professionals from the nonprofit and for profit sectors (i.e. property managers, developers; realtors; mortgage lenders; mortgage insurers; and others) and consumers will be encouraged to attend fair housing and/or affirmative marketing training provided by SWFHC. SWFHC will also conduct random testing in the housing market for compliance with fair housing laws.

In addition to working with SWFHC, CDNC will:

- Monitor trainings to housing consumers about fair housing rights and responsibilities.
- Provide fair housing literature at the Pima County Housing Center.
- Require robust affirmative marketing by recipients of CDNC funds.
- Affirmatively further fair housing by encouraging the investment funds in projects and programs

that seek to revitalize areas of racial and ethnic concentrations or assist residents to relocate to areas of high opportunity.

- Celebrate Fair Housing Month by organizing a community event in partnership with SWFHC and other housing agencies.

### **Actions planned to reduce lead-based paint hazards**

Pima County follows strict HUD guidelines for testing and abatement of lead-based paint and other hazardous substances, and requires compliance from its contractors and subcontractors. Any structure built before 1978 that is proposed for rehabilitation under federal programs is tested for lead-based paint. Notices and requirements regarding testing and removal of lead-based paint are provided to program participants, contractors and project sponsors. The County has licensed contractors who are available to perform appropriate abatement and/ or removal procedures if lead-based paint is present.

The County plans to use its Lead Hazard Control Grant to continue its efforts to reduce lead-based paint hazards target housing, as well as promote healthy homes.

### **Actions planned to reduce the number of poverty-level families**

CDNC will continue its efforts to attain HUD's Section 3 goals:

- Distribute Section 3 employment, training and contracting information through the Pima County One-Stop, other County departments and employment agencies;
- Conduct pre-bid and pre-construction meetings for Section 3 covered projects to inform contractors of Section 3 employment, contracting, and training opportunities goals and requirements;
- Collect Section 3 reporting information on a quarterly basis from sub-recipients and submit an annual report to HUD.

### **Actions planned to develop institutional structure**

CDNC will continue to use a combined application for CDBG and ESG and is working towards streamlining the internal application review and funding recommendations processes. Additional efficiencies at the program level are currently being explored. Possible enhancements include:

- A planned multi-year approach to funding organizations that have a regular need for CDBG funds, such as fire departments in rural communities with equipment upgrade needs that span multiple years.
- Analysis of one or more possible Neighborhood Revitalization Strategy Areas to yield the high community benefit that results from such designation.
- Analysis of one or more special needs populations to identify methods for targeting available

resources and securing additional resources. This approach may be critical given State budget deficits and reductions in human services funding at the State level.

The 5-year Consolidated Plan recognizes the benefits of working cooperatively with the City of Tucson to increase administrative efficiencies. Planned actions during FY2019-20 include:

- Reviewing application language, questions and budget and outcome/output templates and using the same language and templates to the extent possible; using the same timeline for RFP releases; identifying joint funding opportunities based on the model used to upgrade emergency and transitional housing facilities; meeting with stakeholders to continue the dialogue that was started during the process of developing the Consolidated Plan; including funded agencies on PimaCountyHelp.org website to improve access to resource knowledge.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

CDNC will work closely with several Boards and Commissions, which include representatives of public and private housing and social service agencies and members of the public:

- The FEMA Emergency Food & Shelter Local Board establishes priorities for funding, reviews applications, and makes funding allocations to selected local organizations.
- The Neighborhood Reinvestment Oversight Committee guides implementation of the Neighborhood Reinvestment program.
- The Outside Agency Citizen Review Committee appropriates general funds for nonprofit agencies that provide human services programs.
- The Pima County Housing Commission oversees and assures accountability for Pima County Housing Bond Funds used to produce housing that is affordable to low and moderate income households.

The Pima County Housing Center will continue to coordinate periodic roundtables for HUD approved housing counseling agencies, mortgage servicers and government officials to discuss concerns and successes related to current foreclosure prevention programs and to explore and develop best practices in meeting the continuing demand for mortgage loan modification and other housing counseling and education needs.

Additionally, Housing Center staff have recently began a Neighborhood Leadership Institute, an initiative that invites residents to engage in neighborhood leadership capacity building. CDNC staff are implementing various models to identify emerging leadership, and facilitate collaboration across neighborhoods in Pima County. A component of this effort is to support non-traditional neighborhood

groups interested in soliciting funding for neighborhood beautification and improvement projects.

The Pima County One-Stop Workforce Development Center will continue to provide services to unemployed and underemployed residents, homeless persons and people with special needs. The Center will also continue to work with regional economic development efforts to increase the number of high-skill, high-wage jobs available in the County.

## **Discussion**

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# Program Specific Requirements

## AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

### Introduction

The following information summarizes program specific requirements for the CDBG and ESG Programs. Pima County is a member of the Tucson-Pima HOME Consortium. HOME program specific requirements are included in the City of Tucson Consolidated Plan and Annual Action Plan.

### Community Development Block Grant Program (CDBG)

#### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

All families and individuals who fall into category 1, 2, or 4 will be eligible for assistance.

- Category 1 - an individual or family who lacks a fixed, regular, and adequate nighttime residence.
- Category 2 - an individual or family who will imminently lose their primary nighttime residence.
- Category 4 - any individual or family who is fleeing, or is attempting to flee, domestic violence; has no other residence; and lacks the resources or support networks to obtain other permanent housing

The Coordinated Entry process is used to identify the most suitable housing and supports. Standard procedures have been developed for evaluating eligibility. Requirements vary based on the eligibility category and may include:

- Written observation by the outreach worker.
- Written referral by another housing or service provider.
- Certification by the individual or head of household seeking assistance.
- For individuals exiting an institution, discharge paperwork or written/oral referral, or written record of intake worker's due diligence to obtain the evidence and certification.
- A court order resulting from an eviction action notifying the individual or family that they must leave or
- For individual and families leaving a hotel or motel, verbal or written evidence that they lack the financial resources to stay in the hotel or motel and do not have a subsequent residence identified.
- For people fleeing/attempting to flee domestic violence, verbal or written evidence that they are fleeing, lack financial resources, and have no subsequent residence identified.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Tucson Pima Collaboration to End Homelessness has finalized Coordinated Entry Policies and Procedures. Coordinated Entry is a standardized access and assessment model as well as a coordinated referral and housing placement process. The goal is to ensure that people experiencing homelessness receive appropriate housing assistance and services.

The Coordinated Entry process is a "no wrong door" approach that uses a standardized process from initial engagement to successful housing placement. In summary, the policies and procedures call for:

- Trained homeless service providers to use the Vulnerability Index and Service Prioritization Decision Assistance Tool (VI-SPDAT) as the common assessment unless the assessor believes the depth of the individual's vulnerability warrants a full SPDAT.
  - If an individual agrees to participate in the coordinated entry process, they are asked to sign the release of information before proceeding with the assessment. The information is entered into HMIS.
  - Each housing program will serve as the primary point of contact to assist a matched client with navigating their matched housing program. The navigator will work with outreach teams to locate the client and help collect any documentation needed for a voucher. Housing navigators are those who currently work for agencies participating in Coordinated Entry.
  - A uniform process is used across the community for assessing individuals, matching them to an intervention, and within each category, prioritizing placement into housing.
3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The City of Tucson's Department of Housing and Community Development and Pima County's Department of Community Development and Neighborhood Conservation both receive an ESG formula grant. The City of Tucson and Pima County collaborate in the development of the policies and procedures regarding the disbursement of funds and data collection to promote consistent standards and avoid duplicate and unnecessary assistance. There are differences between the jurisdictional requirements and procedures due to jurisdictional target area differences. Pima County is focused on unincorporated areas whereas the City of Tucson is focused on the population within city limits.

Funds will be awarded by the ESG Grantees on a competitive basis. The Request for Proposal (RFP) Notice is released through the Continuum of Care as well as through the City Participation Process. Pima County also solicits RFP's through public meetings throughout the RFP period. The City of Tucson and Pima County jointly make the funding allocations through an allocation committee. Members of the allocation committee are selected for their knowledge of services and participation in the Continuum. Every effort is made to involve a homeless or formerly homeless person in the process. The committee reviews and ranks the proposals both individually and then as a committee makes the final funding recommendations.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with

homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Pima County works cooperatively with the Tucson Pima Collaboration to End Homelessness, which reaches out to and consults with homeless and formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

5. Describe performance standards for evaluating ESG.

Pima County and the City of Tucson in consultation with the Continuum of Care will develop performance standards that will provide measures to evaluate the effectiveness of 1) Targeting those who need the assistance the most; 2) reducing the number of people living on the streets and emergency shelters; 3) shortening the time people spend homeless; and 4) reducing each program participant's housing barriers or housing stability risks.

The Tucson Pima Collaboration to End Homelessness continues to work towards finalizing ESG Written and Performance Standards.

**Discussion**

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